





AS 5216:2021 Webinar Series

SEISMIC DESIGN OF FASTENERS IN ACCORDANCE WITH AS 5216:2021

Mon, 11 Oct 2021 | 12PM - 1PM



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Presenters





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Seismic Prequalification Requirements for Fasteners



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Seismic Design of Fasteners

Moderator: Dr. Jessey Lee

AEFAC Training and Development Manager Senior Lecturer Swinburne University of Technology





Pre-qualification

Products independently tested and assessed to be "fit for purpose"

02. Design Rigorous assessment to design for critical mode of failure

03.

Installation

Informed and competent installer with appropriate supervision and

experience







AEFAC Founding Board Members



AEFAC Supporting Members





The role of AEFAC....









For Designers

Guidelines for the specification and design of fasteners



For Contractors

Training and certification



For fastener Industry Research and development



For Manufacturers

Minimum performance and standard specifications





AEFAC Installer Certification Program

"The best anchor product is only as good as its installation"



www.aefac.com/icp - Free online training





Standard Development

SA TS 101 - 2015

Design of post-installed and cast-in fastenings for use in concrete

AS 5216 - 2018

Design of post-installed and cast-in fastenings in concrete

AS 5216 - 2021

Design of post-installed and cast-in fastenings in concrete





Scope of AS 5216

- Post-installed fasteners
- Cast-in fasteners
- Design for seismic actions
- Anchor channel with 3-D loading
- Post-installed rebar connections
- Redundant non-structural connections
- Design for fire and durability
- Design for fatigue





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Technical Publications

All publications are available for free on www.aefac.org.au

Vol 1: General Vol 2: Proof Test Vol 3: Ultimate Test Vol 4: Masonry







AEFAC Webinar Series on AS 5216:2021

<u>WHAT'S NEW IN AS 5216:2021</u>: Seismic Prequalification Requirements for Fasteners



Dr. Anita Amirsardari 11 October 2021





- 1. Seismicity of Australia
- 2. Seismic provisions in AS 5216:2021
- 3. Fastener seismic prequalification requirements
- 4. Selection criteria for seismic performance categories International practice
- 5. Rapid seismic assessment of buildings in Australia
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AEFAC Australian Engineered Fasteners and Anchors Council

Australia is low-tomoderate seismic region

Geoscience Australia:

- Earthquakes > M5 may occur on average every 1 to 2 years
- Earthquakes > M6 may occur about every 10 years



Seismicity of Australia





Earthquake hazard map showing earthquake design factor (Z) in AS 1170.4:2007 (Reconfirmed 2018)

Minimum k_pZ values in AS 1170.4 since 2018

TABLE 3.3 MINIMUM kpZ VALUES FOR AUSTRALIA			
Annual probability of exceedance	Minimum value of $k_p Z$		
1/500	0.08		
1/1000	0.10		
1/1500	0.12		
1/2000	0.14		
1/2500	0.15		

Z: hazard design factor K_p: probability factor for annual probability of exceedance



Seismicity of Australia





1989 Newcastle earthquake, M5.6 13 fatalities, 160 injuries requiring hospitalisation Damage to 50,000 buildings and 300 demolished (Photo from Geoscience Australia)

List of some previous earthquake:

- M6.3 Meeberrie (SA) earthquake in 1941
- M5.5 Adelaide (SA) earthquake in 1954
- M6.5 Meckering (WA) earthquake in 1968
- M6.1 Cadoux (WA) earthquake in 1979
- M6.6 Tennant Creek (TN) earthquake in 1988
- M5.6 Newcastle (NSW) earthquake in 1989
- M5.4 Ellalong earthquake (NSW) in 1994
- M5.2 Kalgoorlie-Boulder (WA) earthquake in 2010
- M5.2 Moe (VIC) earthquake in 2012
- M5.9 Woods Point (VIC) earthquake in 2021

1954 Adelaide earthquake, M5.5 Damage to 3000 buildings (Photo from The Advertiser)





Seismicity of Australia





Damaged building on Chapel Street (Photo from ABC News)

- 22 September 2021 M5.9 earthquake, northeast of Woods Point, Victoria (Geoscience Australia)
- Approximately less than 20% of design event for most buildings in Melbourne.
- Minor structural damage in metropolitan Melbourne, more than 130 km from epicentre of Earthquake.
- Damage caused to most vulnerable structures - unreinforced masonry buildings







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AS 5216:2021 Appendix F – Design of fastenings under seismic actions

- Clause F.1 General
- Clause F.2 Scope
 - Structural and non-structural elements that need to be designed for earthquake actions in accordance with AS 1170.4.
 - Post-installed fasteners located in region of concrete members where concrete spalling or yielding of reinforcement is not expected to take place.
 - Other details provided in Clause F.2
- Clause F.3 Prequalification requirements \rightarrow seismic performance categories
- Clauses F.4 to F.7 Design requirements → design actions and resistance



AS 5216:2021

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Seismic prequalification requirements

Fasteners do not have predictable capacities for all failure mechanisms:

- Require prequalification to define their performance for their intended use.
- European Assessment Documents (EAD) or Technical Reports (TR)

1. Uncracked concrete

• Tests for reliability and service-conditions of the concrete substrate.

2. Cracked concrete

- Tested in crack widths ≈ 0.3 mm
- Tests for reliability and service-conditions of the concrete substrate.

3. Seismic performance category 1 (C1)

- Tested in max. crack width = 0.5 mm (static)
- Tests for seismic conditions

4. Seismic performance category 2 (C2)

- Tested in max. crack width = 0.8 mm (static & cyclic)
- Tests for seismic conditions

AS 5216:2018

AS 5216:2021





Fasteners installed in cracked concrete

EAD example





Fasteners and Anchors Council

Testing of fastener in cracked concrete at Swinburne University



Seismic pregualification requirements

Test Purpose of test

C2.1a Reference tension

C2.1b Tension tests in high

strength concrete

pulsating tension load

alternating shear load

tension load under

varving crack width

C2.23) Reference shear tests

C2.3 Functioning under

C2.4 Functioning under

C2.5 Functioning with

concrete

tests in low strength

no.

Fasteners and Anchors Council

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- Tested in max. crack width = 0.8 mm (static ٠ & cyclic)
- Tests for seismic conditions

Introduced in Europe in 2013, EOTA TR 049

(European Organisation for Technical Assessment)

Table 2.1 Additional tests for gualification of anchors under category C1

Table 2.4 Additional tests for qualification of anchors under category C2

Concrete

C20/25

C50/60

C20/25

C20/25

C20/25

C20/25

	Purpose of test	Concrete	Crack width ∆w ¹⁾ [mm]	Minimum number of tests ²⁾	Test procedure see Section	Assessm criteria see Section
C1.1	Functioning under pulsating tension load	C20/25	0,5	5	2.3.2	3.1.1
C1.2	Functioning under alternating shear load	C20/25	0,5	5	2.3.3	3.1.2

Crack width Aw 1)

[mm]

0.8

0.8

0.8

 $0.5 (\leq 0.5 \cdot N/N_{max})^{4}$

0,8 (> 0,5·N/Nmax)

0.8

 $\Delta w_1 = 0.0^{5}$

 $\Delta w_2 = 0.8$

Minimum

number of

tests 2)

5

5

5

5

5

5

Test

procedure

see Section

2.4.2

2.4.2

2.4.2

2.4.3

2.4.4

2.4.5



w = 0.8mm

1 :5 :

C7

Assessm

criteria

see Section

3.2.1, 3.2.2

3.2.1, 3.2.2

3.2.1, 3.2.3

3.2.1, 3.2.4

3.2.1, 3.2.5

3.2.1, 3.2.6





Pulsating tension	Alternat
load tests	load

ting shear tests







w = 0.8mm



Tension load with

cyclic crack width

w = 0 - 0.8mmCvclic crack



Seismic prequalification requirements







What level of prequalification is required for fasteners designed to resist seismic actions?









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Recommended seismic performance categories in accordance with EN 1992-4

Seism		Import	ance class		
Class	a _g S (in g's)	I	II		IV
Very low	$a_g S \le 0.05$	No s	eismic perform	ance category I	required
Low	$0.05 < a_g S \le 0.1$	C1	C1 ¹ or C2 ²	C1 ¹ or C2 ²	C2
> Low	$a_g S > 0.1$	C1	C2	C2	C2

 a_g = design ground acceleration | S = site amplification factor

¹For connecting non-structural components to structures |²For connecting structural components to structures.

- 1. Seismicity
- 2. Local site conditions
- 3. Importance level
- 4. Structural or non-structural connection





Minimum seismic performance categories in accordance with DIN EN 1992-4/NA

Crack width under design earthquake	Behaviour factor used to estimate crack widths	Prequalification requirement
$w_k \leq 0.3 mm$	q = 1.0	Cracked concrete
$w_k \le 0.5 \ mm$	$1.0 < q \le 1.5$	C1
$w_k \leq 0.8 \ mm$	$1.5 < q \le 3.0$	C2
$w_k > 0.8 mm$		Fastenings in plastic hinge areas not covered by DIN EN 1992-4

 w_k = characteristic crack width (95 percentile) in accordance with EN 1992-1-1 and DIN EN 1992-1-1/NA.

- 1. Crack widths, or
- 2. Behaviour factor is used to approximate expected crack widths (q is similar to $\frac{\mu}{S_v}$)



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Selection criteria – USA

Seismic prequalification is necessary for fasteners in structures which are assigned to Seismic Design Category (SDC) C, D, E, or F.

Assignment of SDC in accordance with ASCE/SEI 7

Short period (in σ 's)	1 second period (in σ 's)	Risk category	
	I second period (in g s)	l, ll, or lll	IV
$S_{DS} < 0.167$	$S_{D1} < 0.067$	А	А
$0.167 \le S_{DS} < 0.33$	$0.067 \le S_{D1} < 0.133$	В	С
$0.33 \le S_{DS} < 0.50$	$0.133 \le S_{D1} < 0.20$	С	D
$S_{DS} \ge 0.50$	$S_{D1} \ge 0.20$	D	D
Not applicable	$S_1 \ge 0.75$	E	F

 S_{DS} = design earthquake spectral acceleration at short periods; S_{D1} = design earthquake spectral acceleration at 1 second period; S_1 = the mapped risk-targeted maximum considered earthquake (MCE_R) spectral response parameter at 1 second period.

- 1. Seismicity
- 2. Local site conditions
- 3. Risk category

Potentially will incorporate structural response parameters with introduction of second seismic performance category





Selection criteria



- Each criterion is highly dependent on the country's/region's seismicity, design and construction practice
- Considered:
 - 1. Seismic demand,
 - 2. Design of the structure, and/or
 - 3. Consequence of failure of the structure
- What is suitable for Australia?







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Rapid assessment

Displacement-based principles

Demand

• Estimating interstorey drift based on seismicity, fundamental period of the structure, and structural system.

Capacity

• Interstorey drift is related to expected level of damage of building components







Rapid assessment - demand



Rapid assessment approach provided in Tsang et al. (2009) and incorporating amplifications of drifts due to planasymmetry based on Lumantarna et al. (2019) recommendation.



1. Effective building periods are calculated for fundamental periods



4. Incorporation of higher mode effects and damping modification to account for inelastic effects



2. Spectral displacement response obtained at effective fundamental periods



5. Amplification of drifts due to planasymmetry



3. Maximum interstorey drift calculated for the first fundamental period



6. Final estimate of maximum interstorey drift





Rapid assessment – capacity



Interstorey drift limits

Interstorey drift (ISD) criteria	Building component damage state	Fastener prequalification requirement
<i>ISD</i> ≤ 0.5%	Building components are less than or close to initial yield in plastic hinge region.	Seismic prequalification not required
$0.5\% < ISD \le 1.0\%$	Building components are starting to yield and forming plastic hinge regions.	C1
<i>ISD</i> ≥ 1.0%	Building components are developing full plastic hinges and may be close to reaching their ultimate drift capacity.	C2

Building component moment-drift response





Rapid assessment





 k_p : probability factor Z: earthquake hazard design factor F_v : site amplification factor in the velocity controlled region

controlled region



Period





Minimum recommended seismic performance categories for fasteners

k 7F (in g's)	Importance level					
мр21 _V (ш g з)	1	2	3	4		
$k_p ZF_v \le 0.1$		Seismic prequalification is not required	C1	C2		
$0.1 < k_p ZF_v \le 0.18$	Seismic prequalification is not required	C1	C1	C2		
$k_p ZF_v > 0.18$		C2	C2	C2		

- 1. Seismicity
- 2. Local site conditions
- 3. Importance level







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Informative requirement



Minimum recommended seismic performance categories for fasteners

Importance	$\left(\mathbf{k_{p}Z} ight)$ for site sub-soil class				Seismic performance	
level	E	D	С	В	Α	category
	Not applicable	Not applicable	Not applicable	≤ 0.1	≤ 0.12	Seismic prequalification is not required
2	Not applicable	0.08	≤ 0.12	> 0.1 to ≤ 0.18	> 0.12 to ≤ 0.22	C1
	≥ 0.08	> 0.08	> 0.12	> 0.18	> 0.22	C2
3	Not applicable	0.08	≤ 0.12	≤ 0.18	≤ 0.22	C1
	≥ 0.08	> 0.08	> 0.12	> 0.18	> 0.22	C2
4			≥ 0.08			C2



Normative requirement



Consider expected crack widths and the effects of potential opening/closing of the cracks under seismic loading

Minimum required seismic performar	nce categories for fasteners
------------------------------------	------------------------------

Crack width under design earthquake ¹	Fastener seismic performance category
$w \le 0.3$ mm	Seismic prequalification is not required
$w \le 0.5 mm$	C1
$w \le 0.8 mm$	C2
w > 0.8mm (plastic hinge region)	Not covered ²

¹ The expected crack widths may be calculated by using the equation for maximum crack width (w) in accordance with AS 3600:2018

² For crack widths greater than 0.8 mm special design and alternative solutions may be required.







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Comparison with international selection criteria

Importance level = 2 |
$$Z = 0.08$$
 | $\frac{\mu}{s_p} = 2.6$



Importance level = 3 |
$$Z = 0.08$$
 | $\frac{\mu}{s_p} = 2.6$



NOTE

CO: Seismic prequalification not required, i.e. only cracked concrete prequalification is required



Fasteners and Anchors Council

Comparison with international selection criteria





NOTE

CO: Seismic prequalification not required, i.e. only cracked concrete prequalification is required



Fasteners and Anchors Council





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Seismic performance categories in AS 5216:2021

1. Normative requirement

Conclusions

Minimum required seismic performance categories for fasteners

Crack width under design earthquake ¹	Fastener seismic performance category
$w \le 0.3 mm$	Seismic prequalification is not required
$w \le 0.5 mm$	C1
$w \le 0.8 mm$	C2
$\mathrm{w} > 0.8\mathrm{mm}$ (plastic hinge region)	Not covered ²

2. Informative requirement

Minimum recommended seismic performance categories for fasteners

Importance		$(\mathbf{k_p}$	Seismic performance			
level	E	D	С	В	А	category
	Not applicabl e	Not applicable	Not applicable	≤ 0.1	≤ 0.12	Seismic prequalification is not required
2	Not applicabl e	0.08	≤ 0.12	> 0.1 to ≤ 0.18	> 0.12 to ≤ 0.22	C1
	≥ 0.08	> 0.08	> 0.12	> 0.18	> 0.22	C2
3	Not applicabl e	0.08	≤ 0.12	≤ 0.18	≤ 0.22	C1
	≥ 0.08	> 0.08	> 0.12	> 0.18	> 0.22	C2
4			≥ 0.08			C2







Thank You!

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AEFAC Webinar Series on AS 5216:2021

<u>WHAT'S NEW IN AS 5216:2021</u>: Seismic Design of Fasteners



Dr. Tilak Pokharel, *MIEAust CPEng NER APEC Engineer IntPE(Aus)* 11 Oct 2021

Scope – Seismic Design of Fasteners



- Only covers post-installed fasteners
- Seismic design tension/shear to a single fastener or a group of fasteners <=20% of total design tensile/shear load for the same combination
 - Section 8 of TN option 1a, 1b and 2 can be omitted
- Stand-off fasteners (or grout layer >=0.5d) is not covered
- Fastenings for redundant non-structural applications are not covered
- Loosening of the nut or screw should be prevented
- Does not cover the fastening to plastic hinge region



Design Principles



- Design of connections Appendix F
- Design value of seismic actions AS 1170.4
- Design method depends on ductility requirement of fastener
 - NO connection is designed for maximum possible stress
 - YES yield strength and deformation capacity of fastener are considered



Option 2 — Ductility Requirement



Design Principles



- Option 1a Capacity Design
 - Fixture/member reaches to maximum stress
 - Failure happens in the component to be connected
- Option 1b Elastic Design
 - Elastic behaviour of fasteners and members are assumed
- Option 2 Ductility Design
 - Ductility of the fasteners is considered



- Requirements
 - Fastener should meet non-seismic requirements
 - Cracked concrete should be assumed
 - Outside of the hinge region
- Option 1a Capacity Design
 - Design for maximum tension and/or shear load
 - Ductile yield mechanism formed in fixture or member
 - Strain hardening and material over-strength must be considered
 - Load on the fasteners is limited







- Option 1b Elastic Design
 - Maximum load obtained from design load combination that includes seismic action
 - Elastic behaviour of fasteners, fixtures and supporting structure
 - The design forces from AS 1170.4 assuming ${}^{S_p}/_{\mu} = 1.0$
 - Section 8 of AS 1170.4 for non-structural connections





- Option 2 Ductility of fasteners
 - Maximum load obtained from design load combination that includes seismic action
 - C2 Seismic prequalification only
 - Tensile steel capacity < tension capacity from concrete related failure modes
 - Applies to pure tension
 - Other requirements
 - Elongation, Ultimate strength, Yield to Ultimate strength ratio, Breaking strain, Crosssectional area etc.



- To ensure steel failure
 - Condition A one fastener in tension $R_{k,s,eq} \leq 0.7 \phi_{inst}R_{k,conc,eq}$
 - Condition B group of fasteners $\frac{R_{k,s,eq}}{S_{b}^{*}} \leq 0.7 \phi_{inst} \frac{R_{k,conc,eq}}{S_{a}^{*}}$
 - + Condition mechanical fasteners
- To transmit tensile load
 - Fastener should be ductile and shall have stretch length >=8d









- Design seismic forces, acting on the fixture determined according to AS 1170.4
- Design seismic forces for non-structural systems is determined according to Section 8 of AS 1170.4
- Maximum value of tension and shear act simultaneously (unless more accurate model available to prove otherwise)
- Distribution of forces to individual fasteners of a group is conducted according to Section 4 of AS 5216





$$R_{d,eq} = \phi_i R_{k,eq}$$

Where,

- ϕ_i = capacity reduction factor for strength of fastener or fastener group for failure mode *i* in accordance with AS 5216 (Cl 3.2.1)
- $R_{k,eq}$ = characteristic seismic resistance of a fastener.







$$R_{k,eq} = \alpha_{gap} \; \alpha_{eq} \; R^0_{k,eq}$$

Where,

- α_{gap} = reduction factor to take into account for inertia effects due to an annular gap between fastener and fixture in case of shear loading and determined in accordance with Appendix A, AS 5216.
- α_{eq} = factor to take into account the influence of seismic actions and associated cracking and determined in accordance with Table 6.
- $R_{k,eq}^0$ = basic characteristic seismic resistance for a given failure mode



Annular Gap (α_{gap})



- Forces are amplified due to annular gap under shear load
 - Hammer effect on fastener
- For the simplicity
 - This is considered in design rather than analysis
- If no information is available
 - $\alpha_{gap} = 1.0$ for no hole clearance
 - $\alpha_{gap} = 0.5$ for hole clearance





• Influence of seismic actions and associated cracking

Design Action	Failure mode	Single fastener ¹	Fastener group
Tension	Steel failure	1.0	1.0
	Concrete failure		
	- Undercut fasteners	1.0	0.85
	 All other fasteners 	0.85	0.75
	Pull-out failure	1.0	0.85
	Combined pull-out and concrete failure (chemical fastener)	1.0	0.85
	Concrete splitting failure	1.0	0.85
	Concrete blow-out failure	1.0	0.85
	Steel failure of reinforcement	1.0	1.0
	Anchorage failure of reinforcement	0.85	0.75
Shear	Steel failure	1.0	0.85
	Concrete pry-out failure		
	- Undercut fasteners	1.0	0.85
	 All other fasteners 	0.85	0.75
	Concrete edge failure	1.0	0.85
	Steel failure of reinforcement	1.0	1.0
	Anchorage failure of reinforcement	0.85	0.75

¹ This also applies where only one fastener in a group is subjected to tension load





Basic Ch. Seismic Resistance ($R_{k,eq}^{0}$ **)**

- For steel and pull-out failure under tension load and steel failure under shear load
 - Determined from Appendix A
 - = $N_{Rk,s,eq}$, $N_{Rk,p,eq}$ and $V_{Rk,s,eq}$
- For combined pull-out and concrete failure in case of postinstalled bonded fasteners
 - Determined from Cl 6.2.5 replacing τ_{Rk} with $\tau_{Rk,eq}$

•
$$N_{Rk,p} = N_{Rk,p}^{0} \left(\frac{A_{p,N}}{A_{p,N}^{0}}\right) \psi_{s,Np} \psi_{g,Np} \psi_{Re,N} \psi_{ec,Np}$$

- With $N_{Rk,p} = \tau_{Rk,eq} \pi dh_{ef} \psi_{sus}$
- For all other failure modes -- Ch 6 and 7 of AS 5216







$$\left(\frac{N^{*}}{\phi_{i} N_{Rk,i,eq}}\right)^{k_{15}} + \left(\frac{V^{*}}{\phi_{i} V_{Rk,i,eq}}\right)^{k_{15}} \le 1$$

- Steel failure mode
 - $N_{Rk,i,eq}$ = for steel failure $N_{Rk,s,eq}$ and $V_{Rk,s,eq}$ for $N_{Rk,i,eq}$ and $V_{Rk,i,eq}$ shall $V_{Rk,i,eq}$ be used, respectively;
- Other than steel failure mode

 $\begin{array}{ll} N_{Rk,i,eq} &= & \text{for failure modes other than steel failure, largest ratio of} \\ V_{Rk,i,eq} & & \frac{N^*}{\phi_i N_{Rk,i,eq}} \text{ and } \frac{V^*}{\phi_i V_{Rk,i,eq}} \text{ shall be used.} \end{array}$





• The displacement at the damage limit state under tension and shear loads shall be limited to

 $\delta_{N,req(DLS)}$ and $\delta_{V,req(DLS)}$

- The limiting displacement values shall be selected depending upon the requirements of the specific application.
- Design engineer must determine the allowable displacement in the analysis that is compatible with the requirements of the structure





- Displacement of fasteners for tension and shear should be given in prequalification report (such as ETA)
- If the specified deformation values are greater than values required for an application
 - design value of the resistance should be reduced

$$N_{Rk,eq,red} = N_{Rk,eq} \frac{\delta_{N,req(DLS)}}{\delta_{N,eq(DLS)}}$$
$$V_{Rk,eq,red} = V_{Rk,eq} \frac{\delta_{V,req(DLS)}}{\delta_{V,eq(DLS)}}$$



Conclusions

- AS 5216 has been revised which includes
 - Seismic design of post-installed fasteners
 - Post-installled reinforcing bar connections
 - Anchor channel with longitudinal shear force
 - Fasteners for redundant non-structural systems
- Seismic performance categories C1 and C2 introduced
- Seismic design options 1a, 1b and 2 are introduced
- Design requirement
 - Strength requirements
 - Displacement requirements





Acknowledgement

AEFAC Australian Engineered Fasteners and Anchors Council

- AEFAC Board and Supporting Members
- Prof. Nelson Lam from the University of Melbourne
- A/Prof. Hing-Ho Tsang and Dr. Scott Menegon from Swinburne University of Technology
- Standards Australia Working Group and Standard Committee
- AEFAC Technical Committee





Thank You!

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